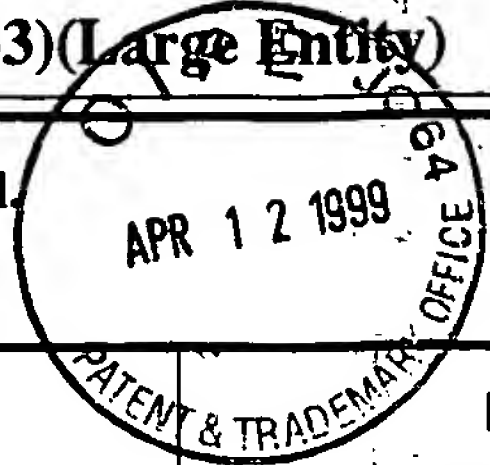


\$ GAU 2711

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No. **#3**
5260

In Re Application Of: **John S. HENDRICKS et al.**



Serial No.
09/237,827

Filing Date
January 27, 1999

Examiner
Not Assigned

Group Art Unit
2711

Invention: **ELECTRONIC BOOK HAVING LIBRARY CATALOG MENU AND SEARCHING FEATURES**

RECEIVED

APR 19 1999

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Group 2700

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on February 19, 1999.
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Information Disclosure Statement
Request for Corrected Filing Receipt

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
5260

In Re Application Of: **John S. HENDRICKS et al.**

Serial No.
09/237,827

Filing Date
January 27, 1999

Examiner
Not Assigned

Group Art Unit
2711

Invention: **ELECTRONIC BOOK HAVING LIBRARY CATALOG MENU AND SEARCHING FEATURES**

RECEIVED

APR 17 1999

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Group 2700

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the invention

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____
Date

until: _____
Date

Total time extension fees _____

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
5260

In Re Application Of: **John S. HENDRICKS et al.**

Serial No.
09/237,827

Filing Date
January 27, 1999

Examiner
Not Assigned

Group Art Unit
2711

Invention: **ELECTRONIC BOOK HAVING LIBRARY CATALOG MENU AND SEARCHING FEATURES**

RECEIVED

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

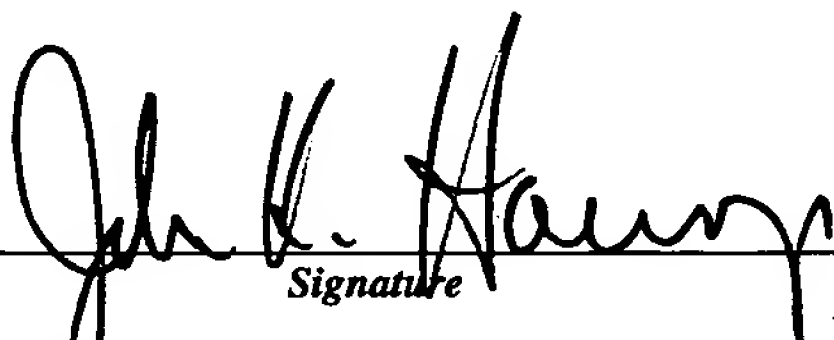
Box Missing Parts

APR 19 1999

Group 2700

The fee of **\$130** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **04-1425**
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.


Signature

Dated: **April 12, 1999**

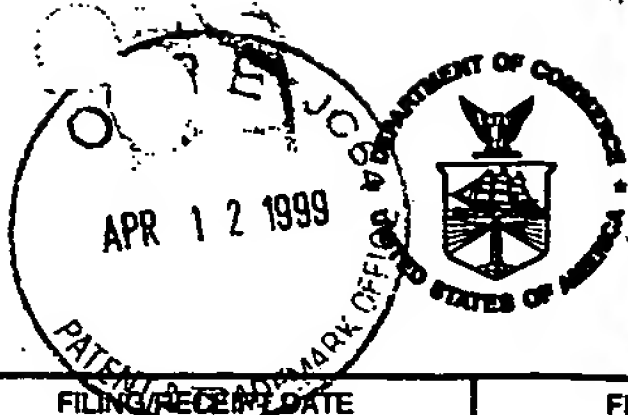
John K. Harrop
Reg. No. 41,817
DORSEY & WHITNEY LLP
1330 Connecticut Avenue
Suite 200
Washington, D.C. 20036
(202)452-6939

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

09/237,827 01/27/99 HENDRICKS

5260

0232/0219

JOHN K HARROP
DORSEY & WHITNEY
1330 CONNECTICUT AVENUE SUITE 200
WASHINGTON DC 20036

NOT ASSIGNED

APR 19 1999

Grown 2700

2711

DATE MAILED:

02/19/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.211(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

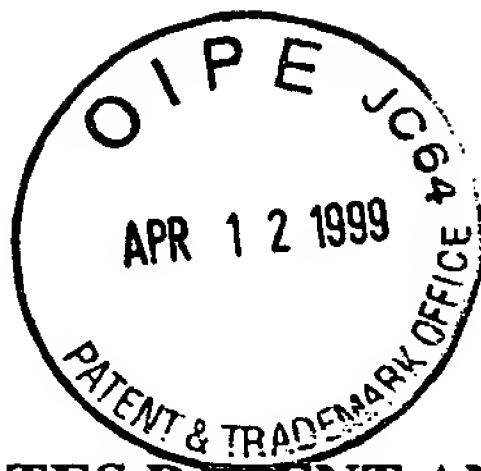
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (700) 200-1000

BEST AVAILABLE COPY



PATENT

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : John S. Hendricks et al. Examiner: Not Yet Assigned
Serial No. : 09/237,827 Group Art Unit: 2711
Filed : January 27, 1999 Docket No.: 5260
For : ELECTRONIC BOOK HAVING LIBRARY CATALOG MENU AND
SEARCHING FEATURES

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

Submitted herewith is a copy of the filing receipt for the above-identified application, with the request that a corrected official filing receipt be issued reflecting the related application information as follows:

--This application is a continuation-in-part of Application Serial Number 08/336,247, filed November 7, 1994, which is a continuation-in-part of Application Serial Number 07/991,074, filed December 9, 1992 and U.S. Serial No. 08/160,194, filed December 9, 1993.--

This information is indicated in the specification of the application as well as on the Declaration and Power of Attorney, a copy of which is attached hereto.

Respectfully Submitted,

John K. Harrop
Registration No. 41,817
DORSEY & WHITNEY LLP
1330 Connecticut Avenue
Suite 200
Washington, D.C. 20036
(202)452-6900

Date: April 12, 1999

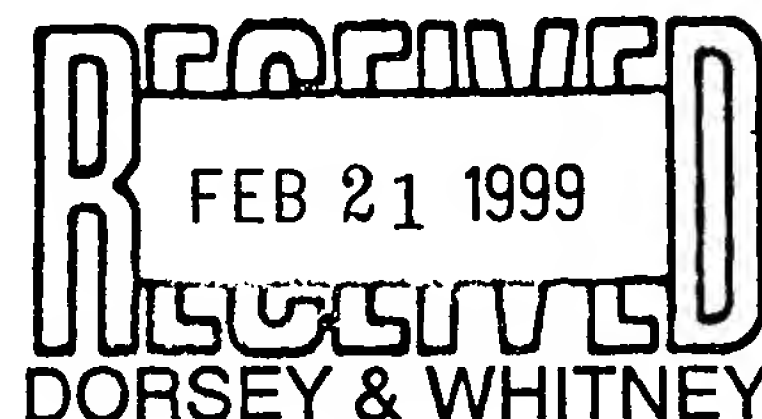
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/237,827 | 01/27/99 | 2711 | \$2,698.00 | 5260 | 46 | 106 | 86 |

JOHN K HARROP
DORSEY & WHITNEY
1330 CONNECTICUT AVENUE SUITE 200
WASHINGTON DC 20036



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JOHN S. HENDRICKS, POTOMAC, MD; MICHAEL S. ASMUSSEN
HERNDON, VA.

RECEIVED

APR 19 1999

FOREIGN FILING LICENSE GRANTED 02/18/99

Group 2700

TITLE

ELECTRONIC BOOK HAVING LIBRARY CATALOG MENU AND SEARCHING FEATURES

PRELIMINARY CLASS: 348

RECEIVED

ack 2/2/99

DATA ENTRY BY: WHITE, JACKIE

TEAM: 03 DATE: 02/18/99